



Use of Internal Information and Safeguarding of Confidential Information

The Company gives importance to procedures that create equality and fairness to all stakeholders. Internal information or news relevant to changing of stock price that has not been disclosed to the public are considered internal and confidential information of UV Group. Consequently, directors, executives, and employees of all levels of UV Group have duty to maintain internal information as confidential. They shall not use internal information that they obtain from their work to inform other individuals, or seek profits or benefits from securities trading in wrongful way, or create loss of benefits for UV Group, directly or indirectly. The principles and guidelines for use of internal information, safeguarding of confidential information, disclosure of information, news, and opinions to external individuals are as follows:

1 Policy and Practice of Trading of the Company's Stock using Internal Information

- (1) Directors, executives and auditors of the Company have a duty to report their holdings of the Company's Stock to the Board of directors in order to ensure the confidence of its shareholders and general investors that its directors and executives can manage the Company's business with honesty, clarity, transparency, and accountability.
- (2) Directors and executives (as defined by the SEC) have a duty to report changes in the holding of the Company's stock to the Company Secretary at least one day before the transaction is made according to good corporate governance principles and electronically to the SEC within 3 business days from the date of purchase or sale or transfer of the Company's stock according to rules and regulations of the SEC in order for the Company Secretary to produce a report to the Board of Directors at the meeting and disclose such report in the annual report.
- (3) Directors and executives of UV Group are forbidden to purchase or sell stocks of the Company for 30 days prior to the public disclosure of the Company's financial statements and shall require waiting for at least 48 hours after such public disclosure of the information. Every 3 months, the Company shall inform directors and executives of UV Group of the prohibited period for the Company's stock trading.
- (4) Directors, executives, and employees will not take advantage of opportunities or use information obtained from their positions with UV Group for personal gain and shall not use internal information for personal benefit or provide internal information to anyone for the purpose of trading the Company's shares.

2 Policy and Practice of Safeguarding of Confidential Information

- (1) Directors, executives, and employees are obliged to strictly safeguard UV Group's confidential information, especially any internal information that has not been disclosed to the public or information that may impact the Company's operations or may affect the Company's share prices.



- (2) Directors, executives, and employees who have access to UV Group's confidential and trade secret information such as business plans, technologies, innovations, maps, data or formulas belonging to the Company, shall not disclose such confidential and trade secret information that they have gained due to their work duties to anyone outside of the Company for a period of two years after leaving the position as director, executive, or employee of UV Group.
- (3) In order to prevent inappropriate use of the Company's internal information which represents a breach of responsibilities to the Company and its shareholders, the Company prescribes classification levels to its confidential information. Important information that has not been disclosed to the public or information that may impact the Company's operations or its share prices shall only be accessed by relevant directors and executives.
- (4) Trade secrets and crucial documents of UV Group must be safeguarded and contained. Information should be classified by levels such as disclosable information, non-disclosable information, confidential information or highly confidential information.
- (5) Preparing information and documents of UV Group in accordance with and relevant to their duties and the specified standards with honestly and carefully. In Addition, the use of shared internal information within the departments or within UV Group must be within the framework of assigned duties and responsibility only.

3 Policy and Practice of Disclosure of News, Information and Opinions to Third Parties

- (1) The Company will correctly and transparently disclose crucial information to the public in a timely and equitable manner.
- (2) The Company has policy to avoid providing crucial information that have not been disclosed to general public, reporter, analyst, or other individuals. Consequently, every crucial information that have not been disclosed to public must gain approval from the Chief Executive Officer or the President first prior to disclosure in which the Chief Executive Officer or the President may choose to provide such information by himself/herself or assign Investor Relations or related persons to provide the information. In regards to information related to joint venture, the Company must gain approval from its joint venture before disclosing the information in accordance to terms and conditions in their mutual agreement. In this regard, disclosure of company information will be operated under the regulations of the SEC and the SET.
- (3) In an event that shareholders or investors make any inquiries to the Company, Investor Relation Office or Compliance Department or Internal Audit Department or the Company Secretary, or any other business units assigned by the Chief Executive Officer or the President, shall be the party responsible for providing answers to such inquiries. The provided information must be publicly disclosed and disseminated according to conditions prescribed by the SEC and the SET.



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- (4) In the case where an employee is asked by a party to disclose information or answer questions which are outside of their authority, employee must politely decline to comment and advise such party to seek answers from the department assigned to or responsible for the disclosure of such information in order to ensure that the information disclosed is correct and consistent.